

Electronic Acknowledgement Receipt

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Application Number:	09917120
Confirmation Number:	2233
Title of Invention:	Methods and systems for assisting financial services firms and their representatives
First Named Inventor:	Scott Fergusson
Customer Number:	28075
Filer:	Brian N. Tufte/Lynn Thompson
Filer Authorized By:	Brian N. Tufte
Attorney Docket Number:	1137.1101101
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Application Type:	Utility

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1		amendfiled3-3-06.pdf	154055	yes	20

Multipart Description			
Doc Desc	Start	End	
Amendment - After Non-Final Rejection	1	1	
Claims	2	11	
Applicant Arguments/Remarks Made in an Amendment	12	20	

Warnings:

Total Files Size (in bytes):	154055
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.